



11/15/2018

File #: 204-18 Item #: G.

Indian Wells City Council
Staff Report - Finance

November 15, 2018

City Treasurer's Report for August 2018

RECOMMENDED ACTION:

Council **RECEIVES** and **FILES** the City Treasurer's Report for August 2018.

DISCUSSION:

Staff provides Council with a monthly update to the Treasurer's Report. The Report presents the City's cash activity and investment portfolio and provides reconciliation between investment balances and the General Ledger. Specifically, the report provides information on the types of investments, dates of maturities, costs, updated market value of securities, and rates of interest earned in the portfolio.

Related to the Treasurer's report, the City maintains a written investment policy in compliance with legal requirements of Government Code section 53600 and governs the investments made by the City. The City invests in U.S. treasuries, federal agency securities, medium term corporate notes, municipal bonds, federally insured certificates of deposit, and overnight cash investments. Criteria for selecting investments in order of priority are: (1) safety, (2) liquidity and (3) yield.

As of August 31, 2018:

- The City's cash and investments totaled \$59,311,783.04.
- The City's portfolio earned a 1.940% rate of return.

ATTACHMENT:

1. City Treasurer's Report

NET CASH & INVESTMENT SUMMARY AUGUST 2018

<u>UNRESTRICTED FUNDS</u>	<u>August 2018</u>	<u>August 2017</u>
GENERAL FUND		
101- GENERAL	\$ 12,499,879.42	\$ 14,130,879.40
TOTAL GENERAL FUND	<u>12,499,879.42</u>	<u>14,130,879.40</u>

RESTRICTED FUNDS

SPECIAL REVENUE FUNDS

202 - TRAFFIC SAFETY	0.00	0.34
203 - PUBLIC SAFETY 1/2 CENT SALES TAX	7,148.00	11,770.75
204 - MEASURE "A"	27,759.38	0.25
209 - F.A.M.D. #1	2,103,207.06	2,569,205.93
210 - SCAQMD (VEHICLE REG.)	7,707.03	5,961.08
211 - AB 3229 COPS FUNDING	54,734.92	18,938.94
214 - GAS TAX 2103 MAINTENANCE	0.74	0.22
215 - GAS TAX 2105 MAINTENANCE	0.24	8,734.25
216 - GAS TAX 2106 CONSTRUCT/MAINT	1,606.65	7,722.40
217 - GAS TAX 2107 MAINTENANCE	0.38	0.76
218 - GAS TAX 2107.5 ENG./ADMIN	3,344.16	4,988.16
219 - GAS TAX RMRA	31,854.57	-
228 - EMERG. UPGRADE SERVICES	3,307,665.09	3,317,040.38
247 - AB 939 RECYCLING FUND	484,065.00	411,119.06
248 - SOLID WASTE	219,448.01	227,642.94
251 - STREET LIGHTING DISTRICT 2000-1	8,989.48	7,795.92
253- INDIAN WELLS VILLAS OPERATIONS	1,055,643.81	1,080,743.61
254- MOUNTAIN VIEW VILLAS OPERATIONS	1,356,052.54	1,351,137.54
256- HOUSING AUTHORITY	8,979,763.50	5,193,124.80
271 - ELDORADO DRIVE LLMD	80,074.49	86,275.77
272 - MONTECITO/STARDUST LLMD	(881.14)	(709.53)
273 - CASA DORADO LLMD	3,120.36	3,568.86
274 - THE COVE LLMD	3,041.69	2,618.67
275 - SH 111/IWGR (ENTRANCE) LLMD	84,020.13	91,743.43
276 - CLUB/IW LANE LLMD	24,557.18	52,540.15
277 - COLONY LLMD	59,200.90	50,045.27
278 - COLONY COV ESTATES LLMD	59,316.94	60,467.28
279 - DESERT HORIZONS LLMD	55,554.30	51,160.36
280 - MOUNTAIN GATE LLMD	92,176.79	88,901.72
281 - MOUNTAIN GATE ESTATES LLMD	47,528.05	46,700.27
282 - VILLAGIO LLMD	282,345.16	268,921.31
283 - VAIDYA LLMD	31,619.33	30,978.73
284 - CLUB, SOUTH OF 111 LLMD	16,021.56	17,772.56
285 - MONTELENA LLMD	44,372.64	33,353.16
286 - SUNDANCE LLMD	750.61	1,033.37
287 - PROVINCE LLMD	69,441.21	68,078.21
288 - PROVINCE DBAD	563,253.17	555,358.63
TOTAL SPECIAL REVENUE FUNDS	<u>19,164,503.93</u>	<u>15,724,735.55</u>

CAPITAL PROJECT FUNDS

314 - PARK-IN-LIEU FEES	0.20	0.20
315 - CITYWIDE PUBLIC IMPROVEMENT FEE	0.26	0.26
316 - CAPITAL IMPROVEMENT	589,110.99	2,277,580.06
319 - ART IN PUBLIC PLACES	146,969.52	678,085.08
321 - HIGHWAY 111 CIRCULATION IMP FEE	0.70	0.70
TOTAL CAPITAL PROJECT FUNDS	<u>736,081.67</u>	<u>2,955,666.30</u>

RESTRICTED FUNDS (Cont.)

	<u>August 2018</u>	<u>August 2017</u>
REPLACEMENT FUNDS		
326 - INFRASTRUCTURE CAPITAL	12,769,357.00	11,374,128.00
327 - FF&E ROLLING STOCK CAPITAL	2,391,608.00	2,382,940.00
328 - GOLF RESORT CAPITAL	2,911,488.67	2,910,519.67
329 - BUILDING & STRUCTURES CAPITAL	3,453,700.00	3,441,183.00
330 - FACILITIES CAPITAL	2,391,676.00	2,383,008.00
TOTAL REPLACEMENT FUNDS	<u>23,917,829.67</u>	<u>22,491,778.67</u>
SUCCESSOR AGENCY FUNDS		
453 - RDA (WHITEWATER)	(69,617.11)	136.85
456 - RDA OBLIGATION RETIREMENT	0.00	120,731.00
TOTAL SUCCESSOR AGENCY FUNDS	<u>(69,617.11)</u>	<u>120,867.85</u>
ENTERPRISE FUNDS		
560 - INDIAN WELLS GOLF RESORT	0.00	0.90
561 - CLUB DRIVE PROPERTY	759,733.09	400,650.36
TOTAL ENTERPRISE FUNDS	<u>759,733.09</u>	<u>400,651.26</u>
INTERNAL SERVICE FUNDS		
601 - OPEB BENEFIT FUND	1,481,236.86	2,164,390.74
TOTAL INTERNAL SERVICE FUNDS	<u>1,481,236.86</u>	<u>2,164,390.74</u>
RESERVE FUNDS		
602 - COMPENSATED ABSENCES	565,678.00	563,628.00
TOTAL RESERVE FUNDS	<u>565,678.00</u>	<u>563,628.00</u>
TRUST & AGENCY FUNDS		
732 - SPECIAL DEPOSITS	256,457.51	233,933.08
TOTAL TRUST & AGENCY FUNDS	<u>256,457.51</u>	<u>233,933.08</u>
TOTAL ALL FUNDS	<u>59,311,783.04</u>	<u>58,786,530.85</u>
FISCAL AGENTS		
253 - INDIAN WELLS VILLAS	132,249.61	46,141.38
254 - MOUNTAIN VIEW VILLAS	288,139.61	108,870.67
453 - UNION BANK OF CALIFORNIA TRUSTEE	8,243,849.93	8,117,618.12
560 - INDIAN WELLS GOLF RESORT	385,392.60	141,761.78
601 - PARS SECTION 115 TRUST	361,357.14	0.00
TOTAL FISCAL AGENTS	<u>9,410,988.89</u>	<u>8,414,391.95</u>
TOTAL ALL FUNDS & FISCAL AGENTS	<u>\$ 68,722,771.93</u>	<u>\$ 67,200,922.80</u>
UNRESTRICTED FUNDS	12,499,879.42	14,130,879.40
RESTRICTED FUNDS	<u>56,222,892.51</u>	<u>53,070,043.40</u>
	<u>\$ 68,722,771.93</u>	<u>\$ 67,200,922.80</u>

City of Indian Wells
Bank Reconciliation Report - City Held Cash
 Finance Department

MONTH: August 31, 2018

Investment #	Investment Type	Investment Description	Book Value
Bank Checking & Sweep			
1		Pacific Western Bank - Sweep 45-301117	755,609.23
2		Pacific Western Bank - Accts. Payable 45-523411	0.00
3		Pacific Western Bank - Payroll 45-501752	0.00
4		Pacific Western Bank - Ambulance	60,353.21
5		Union Bank of CA - Sweep Investment 217-0000121	96,961.53
19		Petty Cash	1,400.00
			914,323.97
Managed Pool Accounts			
21		Local Agency Investment Fund - City 98-33-385	4,966,580.40
			4,966,580.40
Certificates of Deposit			
351		Certificate of Deposit-Barclays Bank 06740KHK6	250,000.00
353		Certificate of Deposit-People's United Bank 71270QGS9	250,000.00
354		Certificate of Deposit-Capital One Bank 140420NX4	250,000.00
355		Certificate of Deposit-State Bank of India NY, NY 856284Z98	250,000.00
358		Certificate of Deposit-BMW Bank North America	250,000.00
362		Certificate of Deposit-Discover Bank 254672HV3	250,000.00
369		GE Capital Financial 36163FP64	245,000.00
390		Certificate of Deposit-HSBC Bank USA 40434AH51	250,000.00
406		Certificate of Deposit-Ally Bank 02006LC35	250,000.00
418		Certificate of Deposit-Wells Fargo Bank 94976BH8	250,000.00
419		Certificate of Deposit-Apex Bank 03753XAL4	250,000.00
425		Certificate of Deposit-Capital One Bank 14042REU1	247,000.00
430		Certificate of Deposit-Medallion Bank Utah 58403B5T9	250,000.00
450		Certificate of Deposit-Winter Hill Bank 975875AJ4	250,000.00
436		Certificate of Deposit-First National Bank of Berlin 32117HAS4	250,000.00
437		Certificate of Deposit-PrivateBank and Trust 74267GVT1	250,000.00
438		Certificate of Deposit-EverBank FL 29976D2N9	250,000.00
441		Certificate of Deposit-American Express Bank 02587CFG0	250,000.00
442		Certificate of Deposit-American Express Centurion 02587DS66	250,000.00
443		Certificate of Deposit-Synchrony Bank 87164WRD2	250,000.00
445		Certificate of Deposit-City National Bank 17801GBR9	250,000.00
457		Certificate of Deposit-Morgan Stanley Bank 61747MF63	250,000.00
460		Certificate of Deposit-Allegiance Bank Texas 01748DBA3	245,000.00
463		Certificate of Deposit-CitiBank NA 17312QH69	250,000.00
464		Certificate of Deposit-Goldman Sachs Bank 38148PG43	250,000.00
465		Certificate of Deposit-Sallie Mae 795450N84	250,000.00
468		Certificate of Deposit-JP Morgan Chase 48126Y6D0	248,000.00
471		Certificate of Deposit-Smart Bank 83172HDV9	248,000.00
472		Certificate of Deposit-Commercial Bank 201282HW3	248,000.00
473		Certificate of Deposit-First National Bank of America 32110YLK9	248,000.00
474		Certificate of Deposit-Continental Bank Salt Lake 211163HK9	246,000.00
			7,725,000.00
Medium Term Corporate Notes			
373		Caterpillar Financial Services Corp 14912L6F3	1,005,131.58
421		Westpac Banking Corp 961214CV3	1,004,991.99
426		Apple Inc 037833AQ3	1,003,992.70
454		Wells Fargo & Company 94974BFR6	1,018,995.60
			4,033,111.87
Federal Agency Issues			
391		Federal Home Loan Bank 3130A7BT1	2,000,000.00
395		Fed. Farm Credit Bank 3133EGA28	1,000,000.00
407		Fed. Farm Credit Bank 3133EGDW2	3,000,000.00
408		Fed. Home Loan Mtg Corp 3134G9ML8	2,000,000.00
409		Fed. Home Loan Mtg Corp 3134G9SK4	3,000,000.00
411		Fed. Home Loan Mtg Corp 3130A8QD8	1,000,000.00
412		Fed. Home Loan Mtg Corp 3130A8NS8	999,650.00
413		Fed. Home Loan Mtg Corp 3134GAKN3	1,000,000.00
420		Fed. Nat'l Mortgage Assoc 3136G4DQ3	2,000,000.00
422		Fed. Nat'l Mortgage Assoc 3136G4EF6	1,000,000.00
423		Federal Home Loan Bank 3130A9UW9	1,999,507.14
431		Fed. Nat'l Mortgage Assoc 3134GA4A9	3,000,000.00
439		Fed. Farm Credit Bank 3133EG7E9	1,000,000.00
453		Fed. Home Loan Bank 3130ABMU7	2,000,000.00
451		Fed. Home Loan Mtg Corp 3134GBUF7	1,000,000.00
446		Fed. Farm Credit Bank 3133EHMB6	3,000,000.00
448		Fed. Home Loan Bank 3130ABHV1	2,998,857.50
452		Fed. Home Loan Mtg Corp 3134GBUJ9	1,000,000.00
456		Fed. Nat'l Mortgage Assoc 313586RC5	980,848.85
459		Fed. Nat'l Mortgage Assoc 3136G3ZP3	998,964.60
461		U.S. Treasury N/B 912828WW6	995,381.80
462		U.S. Treasury N/B 912828VV9	996,256.15
466		Fed. Home Loan Bank 3130ADYG1	1,500,000.00
467		Fed. Nat'l Mortgage Assoc 3136G4SK0	2,000,000.00
469		Fed. Home Loan Mortgage 3134GSRV9	1,000,000.00
470		Fed. Home Loan Bank 3130AELY4	1,000,000.00
			42,469,466.04
Municipal Bonds			
458		Capistrano School District	485,000.00
			485,000.00
Total Pooled Cash and Investments			\$ 60,593,482.28
Fair Value Increase (over cost)			(1,136,089.91)
Outstanding items			
		Outstanding Warrants/Wire Transfers	(131,336.92)
		Deposit in Transit	(8,914.08)
		Deposit in Transit	(7,500.00)
		Deposit in Transit	1,384.81
		Credit Card in Transit	756.86
Total Outstanding Items			(145,609.33)
Reconciled Bank Balance			\$ 59,311,783.04
General Ledger Balance			\$ 59,311,783.04
			0.00

City of Indian Wells

Trustee Reconciliation Report - Cash and Investments with City Agents

Finance Department

MONTH: **August 31, 2018**

Investment #	Investment Description		
	Series 2010 A Bonds	<u>\$ 1,348,316.57</u>	\$ 1,348,316.57
	Series 2014 A Bonds	<u>\$ 6,895,532.36</u>	\$ 6,895,532.36
	Series 2016 A Bonds	<u>\$ 1.00</u>	\$ 1.00
	PARS		
	Post Employment Benefits Trust	361,357.14	\$ 361,357.14
	Other Trustees		
6	Indian Wells Golf Resort	385,392.60	
7	Indian Wells Villas	132,249.61	
10	Mountain View Villas	<u>\$ 288,139.61</u>	\$ 805,781.82
	Total Cash and Investments with City Agents		\$ 9,410,988.89
	General Ledger Balance		\$ 9,410,988.89
			0.00



The City of Indian Wells
Portfolio Management
Portfolio Summary
August 31, 2018

City of Indian Wells
 44-950 Eldorado Drive
 Indian Wells CA 92210
 (760)346-2489

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 360 Equiv.	YTM 365 Equiv.
Bank Certificates of Deposit	6,230,000.00	6,123,212.56	6,230,000.00	10.28	1,811	1,266	2.451	2.485
Certificates of Deposit	245,000.00	242,491.20	245,000.00	0.40	1,830	590	1.775	1.800
Managed Pool Accounts - LAIF	4,966,580.40	4,966,580.40	4,966,580.40	8.20	1	1	1.917	1.944
Money Market Sweep/Checking Account	914,323.97	914,323.97	914,323.97	1.51	1	1	0.230	0.233
Negotiable CD's	1,250,000.00	1,225,490.00	1,250,000.00	2.06	1,607	709	1.016	1.030
Medium Term Corporate Notes	4,000,000.00	3,958,300.00	4,033,111.87	6.66	1,389	641	1.824	1.849
Federal Agency Issues - Callables	39,500,000.00	38,719,450.00	39,496,979.24	65.18	1,593	1,035	1.884	1.910
Treasury Securities	2,000,000.00	1,982,810.00	1,991,637.95	3.29	716	532	2.199	2.230
Miscellaneous Securities - Coupon	485,000.00	476,206.95	485,000.00	0.80	1,415	1,187	2.786	2.825
Federal Agency Disc.	1,000,000.00	971,680.00	980,848.85	1.62	835	403	1.760	1.784
Investments	60,590,904.37	59,580,545.08	60,593,482.28	100.00%	1,406	898	1.913	1.940

Total Earnings	August 31 Month Ending
Current Year	107,502.64
Average Daily Balance	67,512,474.68
Effective Rate of Return	1.87%

The above investments are consistent with the City's investment policy and allowable under current legislation of the State of California. Investments were purchased using safety, liquidity, and yield as criteria. In addition, cash flow from revenue and maturing investments will be sufficient to cover expenditures for the next six months. All securities are "Marked-to-Market" on a monthly basis.

Kevin McCarthy 10/17/2018
 Kevin McCarthy, Finance Director

Reporting period 08/01/2018-08/31/2018

Run Date: 10/17/2018 - 14:57

No fiscal year history available

Portfolio CITY
 AP
 PM (PRF_PM1) 7.3.0
 Report Ver. 7.3.3

**The City of Indian Wells
Portfolio Management
Portfolio Details - Investments
August 31, 2018**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 360	Days to Maturity	Maturity Date
Bank Certificates of Deposit												
06740KHK6	351	Barclays Bank PLC		07/23/2014	250,000.00	249,272.50	250,000.00	2.100		2.071	325	07/23/2019
140420NX4	354	Capital One Bank CD		09/10/2014	250,000.00	248,862.50	250,000.00	1.900		1.874	374	09/10/2019
71270QGS9	353	People's United Bank CD		09/10/2014	250,000.00	248,862.50	250,000.00	1.950	AAA	1.923	374	09/10/2019
856284Z98	355	State Bank of India NY, NY CD		09/11/2014	250,000.00	248,907.50	250,000.00	2.150		2.121	375	09/11/2019
05580AAW4	358	BMW BANK NORTH AMERICA		09/26/2014	250,000.00	248,795.00	250,000.00	2.100		2.071	390	09/26/2019
975875AJ4	450	Winter Hill Bank		03/31/2017	250,000.00	243,652.50	250,000.00	1.900		1.874	942	03/31/2021
14042REU1	425	Capital One Bank CD		11/23/2016	247,000.00	239,187.39	247,000.00	2.000		1.973	1,179	11/23/2021
58403B5T9	430	Medallion Bank Utah		12/29/2016	250,000.00	242,122.50	250,000.00	2.050		2.022	1,215	12/29/2021
29976D2N9	438	Everbank FL		03/29/2017	250,000.00	243,037.50	250,000.00	2.250		2.219	1,305	03/29/2022
32117HAS4	436	First National Bank of Berlin		03/30/2017	250,000.00	242,572.50	250,000.00	2.200		2.170	1,306	03/30/2022
74267GVT1	437	Privatebank & Trust		03/30/2017	250,000.00	242,610.00	250,000.00	2.200		2.170	1,306	03/30/2022
02587DS66	442	American Express Centurion		05/24/2017	250,000.00	241,982.50	250,000.00	2.400		2.367	1,361	05/24/2022
02587CFG0	441	American Express Bank FSB		05/24/2017	250,000.00	241,982.50	250,000.00	2.400		2.367	1,361	05/24/2022
87164WRD2	443	Synchrony Bank		05/26/2017	250,000.00	243,885.00	250,000.00	2.400		2.367	1,363	05/26/2022
17801GBR9	445	City National Bank		05/30/2017	250,000.00	241,172.50	250,000.00	2.100		2.071	1,368	05/31/2022
61747MF63	457	Morgan Stanley Bk NA		01/11/2018	250,000.00	244,440.00	250,000.00	2.650		2.614	1,593	01/11/2023
01748DBA3	460	Allegiance Bank Texas		02/07/2018	245,000.00	238,799.05	245,000.00	2.600		2.564	1,620	02/07/2023
17312QH69	463	CITIBANK NA		03/29/2018	250,000.00	245,890.00	250,000.00	2.850		2.811	1,670	03/29/2023
38148PG43	464	Goldman Sachs Bank		04/25/2018	250,000.00	247,285.00	250,000.00	3.000		2.959	1,697	04/25/2023
795450N84	465	Sallie Mae		04/25/2018	250,000.00	247,822.50	250,000.00	3.050		3.008	1,697	04/25/2023
48126Y6D0	468	JP Morgan Chase		04/30/2018	248,000.00	245,817.60	248,000.00	3.050		3.008	1,702	04/30/2023
32110YLK9	473	First National Bank of America		07/20/2018	248,000.00	246,353.28	248,000.00	3.150		3.107	1,783	07/20/2023
201282HW3	472	Commercial Bank 60 Mo		07/25/2018	248,000.00	247,486.64	248,000.00	3.250		3.205	1,788	07/25/2023
211163HK9	474	Continental Bank Salt Lake Cit		07/25/2018	246,000.00	244,376.40	246,000.00	3.150		3.107	1,788	07/25/2023
83172HDV9	471	SmartBank Pigeon Forge TN		07/27/2018	248,000.00	248,037.20	248,000.00	3.300		3.255	1,790	07/27/2023
Subtotal and Average			6,230,000.00		6,230,000.00	6,123,212.56	6,230,000.00			2.451	1,266	
Certificates of Deposit												
36163FP64	369	GE Capital Financial inc		04/10/2015	245,000.00	242,491.20	245,000.00	1.800		1.775	590	04/13/2020
Subtotal and Average			245,000.00		245,000.00	242,491.20	245,000.00			1.775	590	
Managed Pool Accounts - LAIF												
SYS21	21	LAIF - City			4,966,580.40	4,966,580.40	4,966,580.40	1.944		1.917	1	
SYS23	23	LAIF - Redevelopment			0.00	0.00	0.00	0.233		0.230	1	
Subtotal and Average			11,503,999.75		4,966,580.40	4,966,580.40	4,966,580.40			1.917	1	

**The City of Indian Wells
Portfolio Management
Portfolio Details - Investments
August 31, 2018**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 360	Days to Maturity	Maturity Date
Money Market Sweep/Checking Account												
SYS1	1	Pacific Western Bank			755,609.23	755,609.23	755,609.23	0.250		0.247	1	
SYS6	6	Pacific Western Bank-Ambulance		08/01/2018	60,353.21	60,353.21	60,353.21			0.000	1	
SYS2	2	Pacific Western - Acct Payable		07/01/2016	0.00	0.00	0.00			0.000	1	
SYS3	3	Pacific Western-Payroll		07/01/2016	0.00	0.00	0.00			0.000	1	
SYS4	4	Union Bank-Checking			96,961.53	96,961.53	96,961.53	0.250		0.247	1	
SYS19	19	Petty Cash		07/01/2016	1,400.00	1,400.00	1,400.00			0.000	1	
SYS5	5	WestAmerica Bank		02/23/2017	0.00	0.00	0.00			0.000	1	
Subtotal and Average			1,296,159.51		914,323.97	914,323.97	914,323.97			0.230	1	
Negotiable CD's												
254672HV3	362	Discover Bank		02/25/2015	250,000.00	249,497.50	250,000.00	1.600		0.000	177	02/25/2019
02006LC35	406	Ally Bank		05/26/2016	250,000.00	248,177.50	250,000.00	1.200		0.000	269	05/28/2019
40434AH51	390	HSBC BANK USA		12/30/2015	250,000.00	250,175.00	250,000.00	2.250		1.923	851	12/30/2020
949763BH8	418	Wells Fargo Bank CD		09/28/2016	250,000.00	238,780.00	250,000.00	1.700		1.678	1,123	09/28/2021
03753XAL4	419	APEX BANK		09/30/2016	250,000.00	238,860.00	250,000.00	1.500		1.480	1,125	09/30/2021
Subtotal and Average			1,250,000.00		1,250,000.00	1,225,490.00	1,250,000.00			1.016	709	
Medium Term Corporate Notes												
037833AQ3	426	Apple, Inc.		11/23/2016	1,000,000.00	998,840.00	1,003,992.70	2.100		1.480	247	05/06/2019
14912L6F3	373	Catapillar Financial Services		04/28/2015	1,000,000.00	994,790.00	1,005,131.58	2.250		1.795	456	12/01/2019
94974BFR6	454	Wells Fargo & Company		06/27/2017	1,000,000.00	996,130.00	1,018,995.60	3.000		2.136	874	01/22/2021
961214CV3	421	Westpac Banking Corp		10/06/2016	1,000,000.00	968,540.00	1,004,991.99	2.100		1.880	985	05/13/2021
Subtotal and Average			4,033,882.21		4,000,000.00	3,958,300.00	4,033,111.87			1.824	641	
Federal Agency Issues - Callables												
3134GAKN3	413	Fed. Home Loan Mtg Corp		09/30/2016	1,000,000.00	996,270.00	1,000,000.00	1.500		1.470	394	09/30/2019
3134G9ML8	408	Fed. Home Loan Mtg Corp		06/16/2016	2,000,000.00	1,961,240.00	2,000,000.00	1.300		1.282	562	03/16/2020
3130A9UW9	423	Fed. Home Loan Bank		11/22/2016	2,000,000.00	1,966,120.00	1,999,507.14	1.625		1.402	629	05/22/2020
3133EGDW2	407	Fed. Farm Credit Bank		06/08/2016	3,000,000.00	2,941,080.00	3,000,000.00	1.520		1.499	646	06/08/2020
3130ABMU7	453	Fed. Home Loan Bank		06/26/2017	2,000,000.00	1,964,260.00	2,000,000.00	1.630		1.608	664	06/26/2020
3134G9SK4	409	Fed. Home Loan Mtg Corp		06/30/2016	3,000,000.00	2,940,300.00	3,000,000.00	1.500		1.479	668	06/30/2020
3130A8NS8	412	Fed. Home Loan Bank		07/13/2016	1,000,000.00	974,530.00	999,650.00	1.240		1.242	681	07/13/2020
3130A8QD8	411	Fed. Home Loan Bank		07/14/2016	1,000,000.00	974,420.00	1,000,000.00	1.230		1.213	682	07/14/2020
3133EG7E9	439	Fed. Farm Credit Bank		02/16/2017	1,000,000.00	980,190.00	1,000,000.00	1.870		1.845	807	11/16/2020
3133EGAZ8	395	Fed. Farm Credit Bank		05/17/2016	1,000,000.00	972,380.00	1,000,000.00	1.580		1.559	900	02/17/2021
3130A7BT1	391	Fed. Home Loan Bank		02/26/2016	2,000,000.00	1,947,860.00	2,000,000.00	1.730		1.706	909	02/26/2021

**The City of Indian Wells
Portfolio Management
Portfolio Details - Investments
August 31, 2018**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 360	Days to Maturity	Maturity Date
Federal Agency Issues - Callables												
3134GBUF7	451	Fed. Home Loan Mtg Corp		06/29/2017	1,000,000.00	975,570.00	1,000,000.00	1.800		1.775	1,032	06/29/2021
3136G4EF6	422	Fed. Nat'l Mortgage Assoc		10/28/2016	1,000,000.00	960,850.00	1,000,000.00	1.500		1.480	1,061	07/28/2021
3136G3ZP3	459	Fed. Nat'l Mortgage Assoc		01/24/2018	1,000,000.00	988,640.00	998,964.60	2.000		2.347	1,061	07/28/2021
3136G4DQ3	420	Fed. Nat'l Mortgage Assoc		10/27/2016	2,000,000.00	1,918,060.00	2,000,000.00	1.375		1.356	1,152	10/27/2021
3134GA4A9	431	Fed. Home Loan Mtg Corp		02/28/2017	3,000,000.00	2,924,430.00	3,000,000.00	2.000		1.973	1,276	02/28/2022
3130ADYG1	466	Fed. Home Loan Bank		04/26/2018	1,500,000.00	1,495,440.00	1,500,000.00	2.700		2.663	1,333	04/26/2022
3133EHMB6	446	Fed. Farm Credit Bank		06/08/2017	3,000,000.00	2,916,330.00	3,000,000.00	2.050		2.022	1,376	06/08/2022
3130ABHV1	448	Fed. Home Loan Bank		06/22/2017	3,000,000.00	2,949,120.00	2,998,857.50	1.500		2.436	1,390	06/22/2022
3134GBUJ9	452	Fed. Home Loan Mtg Corp		06/29/2017	1,000,000.00	973,760.00	1,000,000.00	2.150		2.121	1,397	06/29/2022
3136G4SK0	467	Fed. Nat'l Mortgage Assoc		04/26/2018	2,000,000.00	1,999,900.00	2,000,000.00	3.050		3.008	1,698	04/26/2023
3130AELY4	470	Fed. Home Loan Bank		07/19/2018	1,000,000.00	999,260.00	1,000,000.00	3.240		3.196	1,782	07/19/2023
3134GSRV9	469	Fed. Home Loan Mtg Corp		07/30/2018	1,000,000.00	999,440.00	1,000,000.00	3.250		3.206	1,789	07/26/2023
Subtotal and Average			39,496,935.22		39,500,000.00	38,719,450.00	39,496,979.24			1.884	1,035	
Treasury Securities												
912828WW6	461	U.S. Treasury		03/01/2018	1,000,000.00	992,580.00	995,381.80	1.625		2.111	333	07/31/2019
912828VV9	462	U.S. Treasury		03/01/2018	1,000,000.00	990,230.00	996,256.15	2.125		2.287	730	08/31/2020
Subtotal and Average			1,991,353.00		2,000,000.00	1,982,810.00	1,991,637.95			2.199	532	
Miscellaneous Securities - Coupon												
139702BN3	458	capistrano school district		01/16/2018	485,000.00	476,206.95	485,000.00	2.450		2.786	1,187	12/01/2021
Subtotal and Average			485,000.00		485,000.00	476,206.95	485,000.00			2.786	1,187	
Federal Agency Disc.												
313586RC5	456	Fed. Nat'l Mortgage Assoc		06/26/2017	1,000,000.00	971,680.00	980,848.85			1.760	403	10/09/2019
Subtotal and Average			980,144.99		1,000,000.00	971,680.00	980,848.85			1.760	403	
Total and Average			67,512,474.68		60,590,904.37	59,580,545.08	60,593,482.28			1.913	898	

**RDA Series 2010 A Bonds
Portfolio Management
Portfolio Summary
August 31, 2018**

City of Indian Wells
44-950 Eldorado Drive
Indian Wells CA 92210
(760)346-2489

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 360 Equiv.	YTM 365 Equiv.
Money Market Sweep/Checking Account	1,348,316.57	1,348,316.57	1,348,316.57	100.00	1	1	0.082	0.083
Investments	1,348,316.57	1,348,316.57	1,348,316.57	100.00%	1	1	0.082	0.083

Total Earnings	August 31 Month Ending
Current Year	3.09
Average Daily Balance	807,549.76
Effective Rate of Return	0.00%

Kevin McCarthy 9/28/2018
Kevin McCarthy, Agency Treasurer

**RDA Series 2010 A Bonds
Portfolio Management
Portfolio Details - Investments
August 31, 2018**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 365	Days to Maturity	Maturity Date
Money Market Sweep/Checking Account												
SYS1	1	2010 A Bonds Reserve		07/01/2016	786,686.64	786,686.64	786,686.64			0.000	1	
SYS14	13	2010 A Bonds Principal		07/01/2016	350,156.80	350,156.80	350,156.80			0.000	1	
SYS4	4	2010 A Bonds Interest			211,473.13	211,473.13	211,473.13	0.530		0.530	1	
SYS7	7	Local Agency Investment Fund			0.00	0.00	0.00	0.530		0.530	1	
SYS2	2	Blackrock Provident T-Fund		07/01/2016	0.00	0.00	0.00			0.000	1	
SYS3	3	UBC Cost Of Issuance Escrow		07/01/2016	0.00	0.00	0.00			0.000	1	
Subtotal and Average			807,549.76		1,348,316.57	1,348,316.57	1,348,316.57			0.083	1	
Total and Average			807,549.76		1,348,316.57	1,348,316.57	1,348,316.57			0.083	1	



**Series 2014 A Bonds
Portfolio Management
Portfolio Summary
August 31, 2018**

City of Indian Wells
44-950 Eldorado Drive
Indian Wells CA 92210
(760)346-2489

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 360 Equiv.	YTM 365 Equiv.
Money Market Sweep/Checking Account	6,895,532.36	6,895,532.36	6,895,532.36	100.00	1	1	0.000	0.000
Investments	6,895,532.36	6,895,532.36	6,895,532.36	100.00%	1	1	0.000	0.000

Total Earnings	August 31	Month Ending
Current Year		0.00
Average Daily Balance		222,791.81
Effective Rate of Return		0.00%

Kevin McCarthy 9/28/2018
 Kevin McCarthy, Agency Treasurer

Reporting period 08/01/2018-08/31/2018

Run Date: 09/28/2018 - 09:35

No fiscal year history available

Portfolio 14A
CP
PM (PRF_PM1) 7.3.0
Report Ver. 7.3.3

**Series 2014 A Bonds
Portfolio Management
Portfolio Details - Investments
August 31, 2018**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 365	Days to Maturity	Maturity Date
Money Market Sweep/Checking Account												
SYS28	28	2014 A Bonds Reserve		07/01/2016	1.00	1.00	1.00			0.000	1	
SYS26	26	2014 A Bonds Principal		07/01/2016	5,190,000.00	5,190,000.00	5,190,000.00			0.000	1	
SYS25	25	2014 A Bonds Interest		07/01/2016	1,705,529.36	1,705,529.36	1,705,529.36			0.000	1	
SYS27	27	2014 Debt Service		07/01/2016	2.00	2.00	2.00			0.000	1	
SYS24	1	UBC Cost Of Issuance Escrow		07/01/2016	0.00	0.00	0.00			0.000	1	
Subtotal and Average			222,791.81		6,895,532.36	6,895,532.36	6,895,532.36			0.000	1	
Total and Average			222,791.81		6,895,532.36	6,895,532.36	6,895,532.36			0.000	1	

**2016 A Bonds
Portfolio Management
Portfolio Summary
August 31, 2018**

City of Indian Wells
44-950 Eldorado Drive
Indian Wells CA 92210
(760)346-2489

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 360 Equiv.	YTM 365 Equiv.
Money Market Sweep/Checking Account	1.00	1.00	1.00	100.00	1	1	0.000	0.000
Investments	1.00	1.00	1.00	100.00%	1	1	0.000	0.000

Total Earnings	August 31 Month Ending
Current Year	0.00
Average Daily Balance	1.00
Effective Rate of Return	0.00%

Kevin McCarthy 9/28/2018
Kevin McCarthy, Agency Treasurer

**2016 A Bonds
Portfolio Management
Portfolio Details - Investments
August 31, 2018**


CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 365	Days to Maturity	Maturity Date
Money Market Sweep/Checking Account												
SYS28	1	UBC Cost Of Issuance Escrow		07/21/2016	0.00	0.00	0.00			0.000	1	
SYS33	2	Union Bank Reserve Account		08/01/2016	1.00	1.00	1.00			0.000	1	
Subtotal and Average			1.00		1.00	1.00	1.00			0.000	1	
Total and Average			1.00		1.00	1.00	1.00			0.000	1	

**City of Indian Wells
Portfolio Management
Portfolio Summary
August 31, 2018**

City of Indian Wells
44-950 Eldorado Drive
Indian Wells CA 92210
(760)346-2489

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 360 Equiv.	YTM 365 Equiv.
Checking Accounts with Fiscal Agent	805,781.82	805,781.82	805,781.82	100.00	1	1	0.515	0.522
Investments	805,781.82	805,781.82	805,781.82	100.00%	1	1	0.515	0.522

Total Earnings	August 31 Month Ending
Current Year	378.52
Average Daily Balance	957,945.45
Effective Rate of Return	0.47%



Kevin McCarthy, Finance Director

**City of Indian Wells
Portfolio Management
Portfolio Details - Investments
August 31, 2018**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 360	Days to Maturity	Maturity Date
Managed Trustee Accounts												
SYS1	1	Union Bank of California			0.00	0.00	0.00	5.110		5.040	1	
SYS11	11	Union Bank of California			0.00	0.00	0.00	5.110		5.040	1	
SYS12	12	Union Bank of California			0.00	0.00	0.00	0.420		0.414	1	
SYS13	13	Union Bank of California			0.00	0.00	0.00	0.410		0.404	1	
SYS14	14	Union Bank of California		07/01/2017	0.00	0.00	0.00	5.110		5.040	1	
SYS15	15	Union Bank of California		07/01/2017	0.00	0.00	0.00	5.110		5.040	1	
SYS16	16	Union Bank of California		07/01/2017	0.00	0.00	0.00	5.110		5.040	1	
SYS2	2	Union Bank of California			0.00	0.00	0.00	5.110		5.040	1	
SYS3	3	Union Bank of California			0.00	0.00	0.00	4.950		4.882	1	
SYS4	4	Union Bank of California			0.00	0.00	0.00	4.950		4.882	1	
SYS5	5	Union Bank of California			0.00	0.00	0.00	4.950		4.882	1	
SYS8	8	Union Bank of California			0.00	0.00	0.00	4.950		4.882	1	
Subtotal and Average			0.00		0.00	0.00	0.00			0.000	0	
Checking Accounts with Fiscal Agent												
SYS6	6	The Golf Resort at Indian Well		07/01/2017	385,392.60	385,392.60	385,392.60			0.000	1	
SYS7	7	Indian Wells Villas			132,249.61	132,249.61	132,249.61	1.000		0.986	1	
SYS10	10	Mountain View Villas			288,139.61	288,139.61	288,139.61	1.000		0.986	1	
Subtotal and Average			957,945.45		805,781.82	805,781.82	805,781.82			0.515	1	
Total and Average			957,945.45		805,781.82	805,781.82	805,781.82			0.515	1	

**Public Agency Retirement Svcs
Portfolio Management
Portfolio Summary
August 31, 2018**

City of Indian Wells
44-950 Eldorado Drive
Indian Wells CA 92210
(760)346-2489

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 360 Equiv.	YTM 365 Equiv.
PARS Section 115 Trust	361,357.14	361,357.14	361,357.14	100.00	1	1	0.000	0.000
Investments	361,357.14	361,357.14	361,357.14	100.00%	1	1	0.000	0.000

Total Earnings	August 31	Month Ending
Current Year		0.00
Average Daily Balance		356,522.77
Effective Rate of Return		0.00%

Kevin McCarthy 9/27/2018
Kevin McCarthy, Finance Director

**Public Agency Retirement Svcs
Portfolio Management
Portfolio Details - Investments
August 31, 2018**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 360	Days to Maturity	Maturity Date
PARS Section 115 Trust												
1	1	Public Agency Retirement Servi		06/26/2018	361,357.14	361,357.14	361,357.14			0.000	1	
		Subtotal and Average	356,522.77		361,357.14	361,357.14	361,357.14			0.000	1	
		Total and Average	356,522.77		361,357.14	361,357.14	361,357.14			0.000	1	