

Indian Wells City Council

Staff Report – Finance

City Treasurer’s Report for January 2017

RECOMMENDED ACTION:

Council **RECEIVES** and **FILES** the City Treasurer’s Report for January 2017.

DISCUSSION:

Staff provides Council with a monthly update to the Treasurer’s Report. The Report presents the City’s cash activity and investment portfolio and provides reconciliation between investment balances and the General Ledger. Specifically, the report provides information on the types of investments, dates of maturities, costs, updated market value of securities, and rates of interest earned in the portfolio.

Related to the Treasurer’s report, the City maintains a written investment policy in compliance with legal requirements of Government Code section 53600 and governs the investments made by the City. The City invests in U.S. treasuries, federal agency securities, medium term corporate notes, municipal bonds, federally insured certificates of deposit, and overnight cash investments. Criteria for selecting investments in order of priority are: (1) safety, (2) liquidity and (3) yield.

As of January 31, 2017:

- The City’s cash and investments totaled \$62,993,131.98
- The City’s portfolio earned a 1.202% rate of return.

ATTACHMENT:

1. City Treasurer’s Report for January 2017.

NET CASH INVESTMENT SUMMARY JANUARY, 2017

<u>UNRESTRICTED FUNDS</u>	January 2017	January 2016
GENERAL FUND		
101- GENERAL	\$ 15,889,784.99	\$ 6,739,236.40
TOTAL GENERAL FUND	15,889,784.99	6,739,236.40

RESTRICTED FUNDS

SPECIAL REVENUE FUNDS

202 - TRAFFIC SAFETY	0.05	0.42
203 - PUBLIC SAFETY 1/2 CENT SALES TAX	12,682.25	12,218.91
204 - MEASURE "A"	0.11	8,642.48
209 - F.A.M.D. #1	2,738,202.86	2,554,643.78
210 - SCAQMD (VEHICLE REG.)	4,930.99	2,436.33
211 - AB 3229 COPS FUNDING	25,310.25	6,314.73
214 - GAS TAX 2103 MAINTENANCE	6,952.59	21,437.70
215 - GAS TAX 2105 MAINTENANCE	10,269.29	10,062.41
216 - GAS TAX 2106 CONSTRUCT/MAINT	7,549.43	4,263.43
217 - GAS TAX 2107 MAINTENANCE	0.82	0.98
218 - GAS TAX 2107.5 ENG./ADMIN	4,484.16	4,418.16
228 - EMERG. UPGRADE SERVICES	3,697,803.23	3,610,142.69
247 - AB 939 RECYCLING FUND	365,062.23	305,094.52
248 - SOLID WASTE	744,131.65	737,492.17
251 - STREET LIGHTING DISTRICT 2000-1	7,261.76	5,872.44
253- INDIAN WELLS VILLAS OPERATIONS	1,061,323.61	985,813.50
254- MOUNTAIN VIEW VILLAS OPERATIONS	1,268,097.54	1,193,126.10
256- HOUSING AUTHORITY	3,152,566.99	3,000,137.12
271 - ELDORADO DRIVE LLMD	89,558.36	90,585.15
272 - MONTECITO/STARDUST LLMD	930.75	(2,062.99)
273 - CASA DORADO LLMD	14,903.43	15,275.35
274 - THE COVE LLMD	12,654.64	11,838.75
275 - SH 111/IWGR (ENTRANCE) LLMD	202,682.49	278,222.43
276 - CLUB/IW LANE LLMD	52,606.30	55,059.34
277 - COLONY LLMD	44,861.66	38,361.19
278 - COLONY COV ESTATES LLMD	61,006.10	60,480.39
279 - DESERT HORIZONS LLMD	240,430.04	217,086.14
280 - MOUNTAIN GATE LLMD	87,598.34	82,519.13
281 - MOUNTAIN GATE ESTATES LLMD	45,962.32	43,845.81
282 - VILLAGIO LLMD	274,186.86	253,411.62
283 - VAIDYA LLMD	32,166.98	31,137.84
284 - CLUB, SOUTH OF 111 LLMD	15,906.97	12,682.40
285 - MONTELENA LLMD	30,818.87	24,185.41
286 - SUNDANCE LLMD	26,719.07	32,615.74
287 - PROVINCE LLMD	151,609.55	148,008.99
288 - PROVINCE DBAD	551,983.56	507,924.78
TOTAL SPECIAL REVENUE FUNDS	15,043,216.10	14,363,295.34

CAPITAL PROJECT FUNDS

314 - PARK-IN-LIEU FEES	91.20	0.20
315 - CITYWIDE PUBLIC IMPROVEMENT FEE	0.26	0.26
316 - CAPITAL IMPROVEMENT	2,876,634.73	3,362,135.68
319 - ART IN PUBLIC PLACES	670,233.77	328,884.12
321 - HIGHWAY 111 CIRCULATION IMP FEE	0.70	0.70
TOTAL CAPITAL PROJECT FUNDS	3,546,960.66	3,691,020.96

CITY OF INDIAN WELLS
NET CASH & INVESTMENT SUMMARY JANUARY, 2017
 PAGE 2

RESTRICTED FUNDS (Cont.)

	January 2017	January 2016
REPLACEMENT FUNDS		
326 - INFRASTRUCTURE CAPITAL	10,027,081.00	8,532,864.00
327 - FF&E ROLLING STOCK CAPITAL	2,384,341.00	2,347,462.00
328 - GOLF RESORT CAPITAL	3,147,584.89	4,068,385.00
329 - BUILDING & STRUCTURES CAPITAL	3,443,206.00	3,389,946.00
330 - FACILITIES CAPITAL	2,384,409.00	2,347,529.00
TOTAL REPLACEMENT FUNDS	21,386,621.89	20,686,186.00
SUCCESSOR AGENCY FUNDS		
453 - RDA (WHITEWATER)	7,125,907.98	7,012,456.26
456 - RDA OBLIGATION RETIREMENT	0.00	0.00
TOTAL SUCCESSOR AGENCY FUNDS	7,125,907.98	7,012,456.26
ENTERPRISE FUNDS		
560 - INDIAN WELLS GOLF RESORT	(1,315,169.33)	(3,468,870.03)
561 - CLUB DRIVE PROPERTY	354,217.68	54,080.08
TOTAL ENTERPRISE FUNDS	(960,951.65)	(3,414,789.95)
INTERNAL SERVICE FUNDS		
601 - OPEB BENEFIT FUND	175,907.41	480,043.57
TOTAL INTERNAL SERVICE FUNDS	175,907.41	480,043.57
RESERVE FUNDS		
602 - COMPENSATED ABSENCES	563,960.00	555,236.00
TOTAL RESERVE FUNDS	563,960.00	555,236.00
TRUST & AGENCY FUNDS		
732 - SPECIAL DEPOSITS	221,724.60	194,246.10
TOTAL TRUST & AGENCY FUNDS	221,724.60	194,246.10
TOTAL ALL FUNDS	62,993,131.98	50,306,930.68
FISCAL AGENTS		
253 - INDIAN WELLS VILLAS	47,160.11	76,620.28
254 - MOUNTAIN VIEW VILLAS	132,694.83	162,018.89
453 - UNION BANK OF CALIFORNIA TRUSTEE	844,026.84	1,228,192.07
560 - INDIAN WELLS GOLF RESORT	627,134.00	796,359.69
TOTAL FISCAL AGENTS	1,651,015.78	2,263,190.93
TOTAL ALL FUNDS & FISCAL AGENTS	\$ 64,644,147.76	\$ 52,570,121.61
UNRESTRICTED FUNDS	15,889,784.99	6,739,236.40
RESTRICTED FUNDS	48,754,362.77	45,830,885.21
\$	\$ 64,644,147.76	\$ 52,570,121.61

City of Indian Wells
Bank Reconciliation Reconciliation - City Held Cash
 Finance Department

MONTH: **January 31, 2017**

Investment #	Investment Type	Investment Description	Book Value
Bank Checking & Sweep			
1		Pacific Western Bank - Sweep 45-301117	550,387.11
2		Pacific Western Bank - Accts. Payable 45-523411	0.00
3		Pacific Western Bank - Payroll 45-501752	0.00
4		Union Bank of CA - Sweep Investment 217-0000121	47,458.59
19		Petty Cash	1,400.00
			599,245.70
Managed Pool Accounts			
21		Local Agency Investment Fund - City 98-33-385	23,521,811.44
			23,521,811.44
Bank Certificates of Deposit			
316		Certificate of Deposit-GE Capital Retail Bank 36161NYT9	250,000.00
337		Certificate of Deposit-Am Ex Centurion 02587DKR8	250,000.00
338		Certificate of Deposit-Goldman Sachs Bank 38143A5L5	250,000.00
339		Certificate of Deposit-JP Morgan Chase 48124JSY5	250,000.00
348		Certificate of Deposit-Citicorp Bank 17284CJG0	250,000.00
349		Certificate of Deposit-Compass Bank 20451PBG0	250,000.00
350		Certificate of Deposit-Am Ex Centurion 02587CAJ9	250,000.00
351		Certificate of Deposit-Barclays Bank 06740KHK6	250,000.00
353		Certificate of Deposit-People's United Bank 71270QGS9	250,000.00
354		Certificate of Deposit-Capital One Bank 140420NX4	250,000.00
355		Certificate of Deposit-State Bank of India NY, NY 856284;	250,000.00
358		Certificate of Deposit-BMW Bank North America	250,000.00
360		Certificate of Deposit-Security Federal 81423LBN1	250,000.00
361		Certificate of Deposit-First Bank of Highland 319141CQ8	250,000.00
362		Certificate of Deposit-Discover Bank 254672HV3	250,000.00
364		Certificate of Deposit-Pacific Western Bank	250,000.00
390		Certificate of Deposit-HSBC Bank USA 40434AH51	250,000.00
406		Certificate of Deposit-Ally Bank 02006LC35	250,000.00
418		Certificate of Deposit-Wells Fargo Bank 94976BH8	250,000.00
419		Certificate of Deposit-Apex Bank 03753XAL4	250,000.00
425		Certificate of Deposit-Capital One Bank 14042REU1	247,000.00
430		Certificate of Deposit-Medallion Bank Utah 58403B5T9	250,000.00
			5,497,000.00
Medium Term Corporate Notes			
322		AT&T Inc. 00206RBF8	1,000,319.55
331		JP Morgan 46625HJG6	1,000,590.98
346		General Electric Cap Corp 36962G6W9	1,001,973.07
369		GE Capital Financial 36163FP64	245,000.00
373		Caterpillar Financial Services Corp 14912L6F3	1,011,631.58
375		Berkshire Hathaway 084664BW0	1,000,922.73
421		Westpac Banking Corp 961214CV3	1,007,919.38
426		Apple Inc 037833AQ3	1,013,281.82
			7,281,639.11
Federal Agency Issues			
334		Freddie Mac 3134G3357	1,000,000.00
335		Fannie Mae 3136G1CF4	1,000,000.00
345		Federal National Mortgage Assn 313G0WN9	2,000,000.00
380		Federal National Mortgage Assoc 3136G2L47	1,000,000.00
391		Federal Home Loan Bank 3130A7BT1	2,000,000.00
392		Fed. Nat'l Mortgage Assoc 3136G3CN3	2,000,000.00
395		Fed. Farm Credit Bank 3133EGAZ8	1,000,000.00
407		Fed. Farm Credit Bank 3133EGDW2	3,000,000.00
408		Fed. Home Loan Mtg Corp 3134G9ML8	2,000,000.00
409		Fed. Home Loan Mtg Corp 3134G9SK4	3,000,000.00
411		Fed. Home Loan Mtg Corp 3130A8QD8	1,000,000.00
412		Fed. Home Loan Mtg Corp 3130A8NS8	999,353.13
413		Fed. Home Loan Mtg Corp 3134GAKN3	1,000,000.00
420		Fed. Nat'l Mortgage Assoc 3136G4DQ3	2,000,000.00
422		Fed. Nat'l Mortgage Assoc 3136G4EF6	1,000,000.00
423		Federal Home Loan Bank 3130A9UW9	1,999,054.76
			25,998,407.89
Total Pooled Cash and Investments			\$ 62,898,104.14
Fair Value Increase (over cost)			179,446.50
Outstanding items			
		Outstanding Warrants/Wire Transfers	(77,705.26)
		Deposit in Transit	(131.00)
		Deposit in Transit	(7,394.40)
		Deposit in Transit	0.00
		Credit Card in Transit	812.00
Total Outstanding Items			(84,418.66)
Reconciled Bank Balance			\$ 62,993,131.98
General Ledger Balance			\$ 62,993,131.98
			0.00

City of Indian Wells

Trustee Reconciliation Report - Cash and Investments with City Agents

Finance Department

MONTH: **January 31, 2017**

Investment # Investment Description

Series 2006 A Bonds \$ 2.00 \$ 2.00

Series 2010 A Bonds \$ 781,596.26 \$ 781,596.26

Series 2014 A Bonds \$ 62,427.58 \$ 62,427.58

Series 2015 A Bonds \$ - \$ -

Series 2016 A Bonds \$ 1.00 \$ 1.00

Other Trustees

6 Indian Wells Golf Resort 627,134.00
7 Indian Wells Villas 47,160.11
10 Mountain View Villas \$ 132,694.83 \$ 806,988.94

Total Cash and Investments with City Agents \$ **1,651,015.78**

General Ledger Balance \$ **1,651,015.78**

0.00



The City of Indian Wells
 Portfolio Management
 Portfolio Summary
 January 31, 2017

City of Indian Wells
 44-950 Eldorado Drive
 Indian Wells CA 92210
 (760)346-2489

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 360 Equiv.	YTM 365 Equiv.
Bank Certificates of Deposit	4,747,000.00	4,773,883.26	4,747,000.00	7.55	1,588	672	1.628	1.651
Certificates of Deposit	245,000.00	248,214.40	245,000.00	0.39	1,830	1,167	1.775	1.800
Managed Pool Accounts - LAIF	23,521,811.44	23,521,811.44	23,521,811.44	37.40	1	1	0.709	0.719
Money Market Sweep/Checking Account	599,245.70	599,245.70	599,245.70	0.95	1	1	0.246	0.249
Negotiable CD's	750,000.00	740,285.00	750,000.00	1.19	1,826	1,610	1.546	1.567
Medium Term Corporate Notes	7,000,000.00	7,003,690.00	7,036,639.11	11.19	1,535	686	1.570	1.592
Federal Agency Issues - Callables	26,000,000.00	25,722,000.00	25,998,407.89	41.33	1,615	1,207	1.438	1.458
Investments	62,863,057.14	62,609,129.80	62,898,104.14	100.00%	988	650	1.186	1.202

Total Earnings	January 31 Month Ending
Current Year	56,015.51
Average Daily Balance	56,251,678.88
Effective Rate of Return	1.17%

The above investments are consistent with the City's investment policy and allowable under current legislation of the State of California. Investments were purchased using safety, liquidity, and yield as criteria. In addition, cash flow from revenue and maturing investments will be sufficient to cover expenditures for the next six months. All securities are "Marked-to-Market" on a monthly basis.

Kevin McCarthy 2/3/2017
 Kevin McCarthy, Finance Director

Reporting period 01/01/2017-01/31/2017

Run Date: 02/06/2017 - 17:23

No fiscal year history available

Portfolio CITY
 AP
 PM (PRF_PM1) 7.3.0
 Report Ver: 7.3.3

**The City of Indian Wells
Portfolio Management
Portfolio Details - Investments
January 31, 2017**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 360	Days to Maturity	Maturity Date
Bank Certificates of Deposit												
38143A5L5	338 ✓	Goldman Sachs Bank		02/06/2013	250,000.00	250,020.00	250,000.00	1.050		1.036	5	02/06/2017
SYS364	364 ✓	Pacific Western Bank		03/10/2015	250,000.00	250,000.00	250,000.00	1.000		1.000	98	05/10/2017
SYS316	316 ✓	GE Capital Retail Bank		05/25/2012	250,000.00	250,885.00	250,000.00	1.800		1.800	113	05/25/2017
17284CJG0	348 ✓	CIT BANK		07/24/2013	250,000.00	250,865.00	250,000.00	1.400		1.381	173	07/24/2017
02587DKR8	337 ✓	American Express Centurion		02/06/2013	250,000.00	251,060.00	250,000.00	1.700		1.677	182	08/02/2017
319141CQ8	361 ✓	FIRST BANK OF HIGHLAND		10/15/2014	250,000.00	250,717.50	250,000.00	1.250		1.233	257	10/16/2017
81423LBN1	360 ✓	SECURITY FEDERAL		10/15/2014	250,000.00	250,740.00	250,000.00	1.250		1.233	257	10/16/2017
48124JSY5	339 ✓	JP Morgan Chase		02/27/2013	250,000.00	250,057.50	250,000.00	1.125		1.110	383	02/19/2018
20451PBG0	349 ✓	Compass Bank		07/24/2013	250,000.00	251,895.00	250,000.00	1.700		1.677	538	07/24/2018
254672HV3	362 ✓	Discover Bank		02/25/2015	250,000.00	251,160.00	250,000.00	1.600		1.578	754	02/25/2019
02006LC35	406 ✓	Ally Bank		05/26/2016	250,000.00	248,672.50	250,000.00	1.200		1.184	846	05/28/2019
06740KHK6	351 ✓	Barclays Bank PLC		07/23/2014	250,000.00	253,337.50	250,000.00	2.100		2.071	902	07/23/2019
02587CAJ9	350 ✓	American Express Bank FSB		07/24/2014	250,000.00	253,405.00	250,000.00	2.000		1.973	903	07/24/2019
140420NX4	354 ✓	Capital One Bank CD		09/10/2014	250,000.00	253,305.00	250,000.00	1.900		1.874	951	09/10/2019
71270QGS9	353 ✓	People's United Bank CD		09/10/2014	250,000.00	253,302.50	250,000.00	1.950	AAA	1.923	951	09/10/2019
856284Z98	355 ✓	State Bank of India NY, NY CD		09/11/2014	250,000.00	253,420.00	250,000.00	2.150		2.121	952	09/11/2019
05580AAW4	358 ✓	BMW BANK NORTH AMERICA		09/26/2014	250,000.00	253,367.50	250,000.00	2.100		2.071	967	09/26/2019
14042REU1	425 ✓	Capital One Bank CD		11/23/2016	247,000.00	247,143.26	247,000.00	2.000		1.973	1,756	11/23/2021
58403B5T9	430 ✓	Medallion Bank Utah		12/29/2016	250,000.00	250,530.00	250,000.00	2.050		2.022	1,792	12/29/2021
Subtotal and Average			4,747,000.00		4,747,000.00	4,773,883.26	4,747,000.00			1.628	672	
Certificates of Deposit												
36163FP64	369	GE Capital Financial inc		04/10/2015	245,000.00	248,214.40	245,000.00	1.800		1.775	1,167	04/13/2020
Subtotal and Average			245,000.00		245,000.00	248,214.40	245,000.00			1.775	1,167	
Managed Pool Accounts - LAIF												
SYS21	21	LAIF - City			23,521,811.44	23,521,811.44	23,521,811.44	0.719		0.709	1	
SYS23	23	LAIF - Redevelopment			0.00	0.00	0.00	0.233		0.230	1	
Subtotal and Average			17,095,020.33		23,521,811.44	23,521,811.44	23,521,811.44			0.709	1	
Money Market Sweep/Checking Account												
SYS1	1	Pacific Western Bank			550,387.11	550,387.11	550,387.11	0.250		0.247	1	
SYS2	2	Pacific Western - Acct Payable		07/01/2015	0.00	0.00	0.00			0.000	1	
SYS3	3	Pacific Western-Payroll		07/01/2015	0.00	0.00	0.00			0.000	1	
SYS4	4	Union Bank-Checking			47,458.59	47,458.59	47,458.59	0.250		0.247	1	

Portfolio CITY
AP
PM (PRF_PM2) 7.3.0

**The City of Indian Wells
Portfolio Management
Portfolio Details - Investments
January 31, 2017**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 360	Days to Maturity	Maturity Date
Money Market Sweep/Checking Account												
SYS19	19	Petty Cash		07/01/2015	1,400.00	1,400.00	1,400.00			0.000	1	
Subtotal and Average			379,014.76		599,245.70	599,245.70	599,245.70			0.246	1	
Negotiable CD's												
40434AH51	390	HSBC BANK USA		12/30/2015	250,000.00	251,047.50	250,000.00	1.500		1.479	1,428	12/30/2020
949763BH8	418	Wells Fargo Bank CD		09/28/2016	250,000.00	244,362.50	250,000.00	1.700		1.678	1,700	09/28/2021
03753XAL4	419	APEX BANK		09/30/2016	250,000.00	244,875.00	250,000.00	1.500		1.480	1,702	09/30/2021
Subtotal and Average			750,000.00		750,000.00	740,285.00	750,000.00			1.546	1,610	
Medium Term Corporate Notes												
00206RBF8	322	AT&T INC		06/21/2012	1,000,000.00	1,001,120.00	1,000,319.55	1.600		1.479	120	06/01/2017
46625HJG6	331	J P Morgan		01/28/2013	1,000,000.00	1,002,270.00	1,000,590.98	1.800		1.713	358	01/25/2018
36962G6W9	346	General Elec. Cap Crp		05/01/2013	1,000,000.00	1,002,680.00	1,001,973.07	1.625		1.430	425	04/02/2018
084664BW0	375	Berkshire Hathaway		06/09/2015	1,000,000.00	999,780.00	1,000,922.73	1.300	AA	1.210	468	05/15/2018
037833AQ3	426	Apple, Inc.		11/23/2016	1,000,000.00	1,009,700.00	1,013,281.82	2.100		1.480	824	05/06/2019
14912L6F3	373	Catapillar Financial Services		04/28/2015	1,000,000.00	1,007,900.00	1,011,631.58	2.250		1.795	1,033	12/01/2019
961214CV3	421	Westpac Banking Corp		10/06/2016	1,000,000.00	980,240.00	1,007,919.38	2.100		1.880	1,562	05/13/2021
Subtotal and Average			7,037,254.34		7,000,000.00	7,003,690.00	7,036,639.11			1.570	686	
Federal Agency Issues - Callables												
3135G0WN9	345	Fed. Nat'l Mortgage Assoc		04/30/2013	2,000,000.00	1,992,940.00	2,000,000.00	1.000		0.985	453	04/30/2018
3134G33S7	334	Fed. Home Loan Mtg Corp		01/24/2013	1,000,000.00	997,190.00	1,000,000.00	1.000		0.986	530	07/16/2018
3136G1CF4	335	Fed. Nat'l Mortgage Assoc		01/30/2013	1,000,000.00	996,320.00	1,000,000.00	1.000	AAA	0.986	544	07/30/2018
3134GAKN3	413	Fed. Home Loan Mtg Corp		09/30/2016	1,000,000.00	992,600.00	1,000,000.00	0.750		1.470	971	09/30/2019
3134G9ML8	408	Fed. Home Loan Mtg Corp		06/16/2016	2,000,000.00	1,998,200.00	2,000,000.00	1.300		1.282	1,139	03/16/2020
3130A9UW9	423	Fed. Home Loan Bank		11/22/2016	2,000,000.00	1,987,800.00	1,999,054.76	0.875		1.402	1,206	05/22/2020
3133EGDW2	407	Fed. Farm Credit Bank		06/08/2016	3,000,000.00	2,938,230.00	3,000,000.00	1.520		1.499	1,223	06/08/2020
3134G9SK4	409	Fed. Home Loan Mtg Corp		06/30/2016	3,000,000.00	2,980,890.00	3,000,000.00	1.500		1.479	1,245	06/30/2020
3136G2L47	380	Fed. Nat'l Mortgage Assoc		06/30/2015	1,000,000.00	998,280.00	1,000,000.00	1.800		1.775	1,245	06/30/2020
3130A8NS8	412	Fed. Home Loan Bank		07/13/2016	1,000,000.00	983,060.00	999,353.13	1.240		1.242	1,258	07/13/2020
3130A8QD8	411	Fed. Home Loan Bank		07/14/2016	1,000,000.00	981,230.00	1,000,000.00	1.230		1.213	1,259	07/14/2020
3133EGA28	395	Fed. Farm Credit Bank		05/17/2016	1,000,000.00	986,170.00	1,000,000.00	1.580		1.559	1,477	02/17/2021
3130A7BT1	391	Fed. Home Loan Bank		02/26/2016	2,000,000.00	1,981,860.00	2,000,000.00	1.730		1.706	1,486	02/26/2021
3136G3CN3	392	Fed. Nat'l Mortgage Assoc		03/23/2016	2,000,000.00	1,996,860.00	2,000,000.00	1.000		2.142	1,511	03/23/2021
3136G4EF6	422	Fed. Nat'l Mortgage Assoc		10/28/2016	1,000,000.00	970,750.00	1,000,000.00	1.500		1.480	1,638	07/28/2021

Portfolio CITY
AP
PM (PRF_PM2) 7.3.0

**The City of Indian Wells
Portfolio Management
Portfolio Details - Investments
January 31, 2017**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 360	Days to Maturity	Maturity Date
Federal Agency Issues - Callables												
3136G4DQ3	420	Fed. Nat'l Mortgage Assoc		10/27/2016	2,000,000.00	1,939,820.00	2,000,000.00	1.375		1.356	1,729	10/27/2021
Subtotal and Average			25,998,389.44		26,000,000.00	25,722,000.00	25,998,407.89			1.438	1,207	
Total and Average			56,251,678.88		62,863,057.14	62,609,129.80	62,898,104.14			1.186	650	



**2006 A Bonds
Portfolio Management
Portfolio Summary
January 31, 2017**

City of Indian Wells
44-950 Eldorado Drive
Indian Wells CA 92210
(760)346-2489

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 360 Equiv.	YTM 365 Equiv.
Money Market Sweep/Checking Account	2.00	2.00	2.00	100.00	1	1	0.202	0.205
Investments	2.00	2.00	2.00	100.00%	1	1	0.202	0.205

Total Earnings	January 31	Month Ending
Current Year	0.00	
Average Daily Balance	2.00	
Effective Rate of Return	0.00%	

Kevin McCarthy 3/9/2017
 Kevin McCarthy, Agency Treasurer

Reporting period 01/01/2017-01/31/2017

Run Date: 03/09/2017 - 12:12

No fiscal year history available

Portfolio 06A
CP
PM (PRF_PM1) 7.3.0
Report Ver. 7.3.3

**2006 A Bonds
Portfolio Management
Portfolio Details - Investments
January 31, 2017**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 365	Days to Maturity	Maturity Date
Money Market Sweep/Checking Account												
SYS13	13	2006A Good Faith Deposit			0.00	0.00	0.00	5.080		5.080	1	
SYS15	15	2006 A Bonds Reserve			1.00	1.00	1.00			0.000	1	
SYS14	14	2006 A Bonds Interest			1.00	1.00	1.00	0.410		0.410	1	
SYS12	12	UBC Cost Of Issuance Escrow			0.00	0.00	0.00	5.020		5.020	1	
SYS10	10	Fidelity Institutional Money M			0.00	0.00	0.00	5.360		5.360	1	
SYS17	17	Principal Account			0.00	0.00	0.00	0.410		0.410	1	
Subtotal and Average			2.00		2.00	2.00	2.00			0.205	1	
Total and Average			2.00		2.00	2.00	2.00			0.205	1	



**RDA Series 2010 A Bonds
Portfolio Management
Portfolio Summary
January 31, 2017**

City of Indian Wells
44-950 Eldorado Drive
Indian Wells CA 92210
(760)346-2489

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 360 Equiv.	YTM 365 Equiv.
Money Market Sweep/Checking Account	781,596.26	781,596.26	781,596.26	100.00	1	1	0.000	0.000
Investments	781,596.26	781,596.26	781,596.26	100.00%	1	1	0.000	0.000

Total Earnings	January 31 Month Ending
Current Year	0.00
Average Daily Balance	781,396.25
Effective Rate of Return	0.00%

Kevin McCarthy 3/9/2017
 Kevin McCarthy, Agency Treasurer

Reporting period 01/01/2017-01/31/2017

Run Date: 03/09/2017 - 12:15

No fiscal year history available

Portfolio 010A
CP
PM (PRF_PM1) 7.3.0
Report Ver. 7.3.3

**RDA Series 2010 A Bonds
Portfolio Management
Portfolio Details - Investments
January 31, 2017**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 365	Days to Maturity	Maturity Date
Money Market Sweep/Checking Account												
SYS1	1	2010 A Bonds Reserve		07/01/2015	781,004.79	781,004.79	781,004.79			0.000	1	
SYS14	13	2010 A Bonds Principal		07/01/2015	582.28	582.28	582.28			0.000	1	
SYS4	4	2010 A Bonds Interest			9.19	9.19	9.19	0.530		0.530	1	
SYS7	7	Local Agency Investment Fund			0.00	0.00	0.00	0.530		0.530	1	
SYS2	2	Blackrock Provident T-Fund		07/01/2015	0.00	0.00	0.00			0.000	1	
SYS3	3	UBC Cost Of Issuance Escrow		07/01/2015	0.00	0.00	0.00			0.000	1	
Subtotal and Average			781,396.25		781,596.26	781,596.26	781,596.26			0.000	1	
Total and Average			781,396.25		781,596.26	781,596.26	781,596.26			0.000	1	



**Series 2014 A Bonds
Portfolio Management
Portfolio Summary
January 31, 2017**

City of Indian Wells
44-950 Eldorado Drive
Indian Wells CA 92210
(760)346-2489

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 360 Equiv.	YTM 365 Equiv.
Money Market Sweep/Checking Account	62,427.58	62,427.58	62,427.58	100.00	1	1	0.000	0.000
Investments	62,427.58	62,427.58	62,427.58	100.00%	1	1	0.000	0.000

Total Earnings	January 31 Month Ending
Current Year	0.00
Average Daily Balance	2,293.33
Effective Rate of Return	0.00%

Kevin McCarthy 3/9/2017
 Kevin McCarthy, Agency Treasurer

Reporting period 01/01/2017-01/31/2017

Run Date: 03/09/2017 - 12:17

No fiscal year history available

Portfolio 14A
CP
PM (PRF_PM1) 7.3.0
Report Ver. 7.3.3

**Series 2014 A Bonds
Portfolio Management
Portfolio Details - Investments
January 31, 2017**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 365	Days to Maturity	Maturity Date
Money Market Sweep/Checking Account												
SYS28	28	2014 A Bonds Reserve		07/01/2015	1.00	1.00	1.00			0.000	1	
SYS26	26	2014 A Bonds Principal		07/01/2015	0.00	0.00	0.00			0.000	1	
SYS25	25	2014 A Bonds Interest		07/01/2015	62,424.58	62,424.58	62,424.58			0.000	1	
SYS27	27	2014 Debt Service		07/01/2015	2.00	2.00	2.00			0.000	1	
SYS24	1	UBC Cost Of Issuance Escrow		07/01/2015	0.00	0.00	0.00			0.000	1	
Subtotal and Average			2,293.33		62,427.58	62,427.58	62,427.58			0.000	1	
Total and Average			2,293.33		62,427.58	62,427.58	62,427.58			0.000	1	



**2016 A Bonds
Portfolio Management
Portfolio Summary
January 31, 2017**

City of Indian Wells
44-950 Eldorado Drive
Indian Wells CA 92210
(760)346-2489

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 360 Equiv.	YTM 365 Equiv.
Money Market Sweep/Checking Account	1.00	1.00	1.00	100.00	1	1	0.000	0.000
Investments	1.00	1.00	1.00	100.00%	1	1	0.000	0.000

Total Earnings	January 31 Month Ending
Current Year	0.00
Average Daily Balance	60,119.37
Effective Rate of Return	0.00%

Kevin McCarthy 3/9/2017

 Kevin McCarthy, Agency Treasurer

Reporting period 01/01/2017-01/31/2017

Run Date: 03/09/2017 - 12:20

No fiscal year history available

Portfolio 16A
CP
PM (PRF_PM1) 7.3.0
Report Ver. 7.3.3

**2016 A Bonds
Portfolio Management
Portfolio Details - Investments
January 31, 2017**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 365	Days to Maturity	Maturity Date
Money Market Sweep/Checking Account												
SYS28	1	UBC Cost Of Issuance Escrow		07/21/2016	0.00	0.00	0.00			0.000	1	
SYS33	2	Union Bank Reserve Account		08/01/2016	1.00	1.00	1.00			0.000	1	
Subtotal and Average			60,119.37		1.00	1.00	1.00			0.000	1	
Total and Average			60,119.37		1.00	1.00	1.00			0.000	1	



**City of Indian Wells
Portfolio Management
Portfolio Summary
January 31, 2017**

City of Indian Wells
44-950 Eldorado Drive
Indian Wells CA 92210
(760)346-2489

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 360 Equiv.	YTM 365 Equiv.
Checking Accounts with Fiscal Agent	806,988.94	806,988.94	806,988.94	100.00	1	1	0.220	0.223
Investments	806,988.94	806,988.94	806,988.94	100.00%	1	1	0.220	0.223

Total Earnings	January 31 Month Ending
Current Year	135.30
Average Daily Balance	781,103.65
Effective Rate of Return	0.20%

Kevin McCarthy 3/10/2017
 Kevin McCarthy, Finance Director

Reporting period 01/01/2017-01/31/2017

Run Date: 03/10/2017 - 16:44

No fiscal year history available

Portfolio FA
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PM (PRF_PM1) 7.3.0
Report Ver. 7.3.3

**City of Indian Wells
Portfolio Management
Portfolio Details - Investments
January 31, 2017**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 360	Days to Maturity	Maturity Date
Managed Trustee Accounts												
SYS1	1	Union Bank of California			0.00	0.00	0.00	5.110		5.040	1	
SYS11	11	Union Bank of California			0.00	0.00	0.00	5.110		5.040	1	
SYS12	12	Union Bank of California			0.00	0.00	0.00	0.420		0.414	1	
SYS13	13	Union Bank of California			0.00	0.00	0.00	0.410		0.404	1	
SYS14	14	Union Bank of California		07/01/2015	0.00	0.00	0.00	5.110		5.040	1	
SYS15	15	Union Bank of California		07/01/2015	0.00	0.00	0.00	5.110		5.040	1	
SYS16	16	Union Bank of California		07/01/2015	0.00	0.00	0.00	5.110		5.040	1	
SYS2	2	Union Bank of California			0.00	0.00	0.00	5.110		5.040	1	
SYS3	3	Union Bank of California			0.00	0.00	0.00	4.950		4.882	1	
SYS4	4	Union Bank of California			0.00	0.00	0.00	4.950		4.882	1	
SYS5	5	Union Bank of California			0.00	0.00	0.00	4.950		4.882	1	
SYS8	8	Union Bank of California			0.00	0.00	0.00	4.950		4.882	1	
Subtotal and Average			0.00		0.00	0.00	0.00			0.000	0	
Checking Accounts with Fiscal Agent												
SYS6	6	The Golf Resort at Indian Well		07/01/2015	627,134.00	627,134.00	627,134.00			0.000	1	
SYS7	7	Indian Wells Villas			47,160.11	47,160.11	47,160.11	1.000		0.988	1	
SYS10	10	Mountain View Villas			132,694.83	132,694.83	132,694.83	1.000		0.988	1	
Subtotal and Average			781,103.65		806,988.94	806,988.94	806,988.94			0.220	1	
Total and Average			781,103.65		806,988.94	806,988.94	806,988.94			0.220	1	